

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wolfgang Daum et al

Group Art Unit:

Serial No.: 09/747,442

Examiner:

For: Method and Apparatus for Interfacing a Power Line Carrier and an Appliance

INFORMATION DISCLOSURE STATEMENT

- ☒ [X] Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- [ ] [2] Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] [3] Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- [ ] [4] Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with  
37 CFR 1.97 & 1.98:

- [xx]5 A list of documents on form PTO-1449 together with copies of each identified document and a translation  
or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ [X]6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [ ] [7] 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] [8] The required certification made in item 11 below; or
- [ ] [9] The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this  
Information Disclosure Statement is authorized in item 14 below.
- [ ] [10] 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition  
fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to:  
"Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g.  
"Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"). and
- b) The required Certification is stated in item 11 below.

[ ]11 Certification

[ ]12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[ ]13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[XX]14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0844. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Name George L. Rideout Jr.

Reg. No. 43,880

Component General Electric Company

Address Appliance Park

AP2-225

Louisville, KY 40225

Telephone (502) 452-7792

Date 9/10/01



Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1449A/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
<b>LIST OF PRIOR ART CITED BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/747,442
				Filing Date	December 26, 2000
				First Named Inventor	John Hooker, et al.
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	9D-HR-19571

  

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	5,051,720		Kittirutsunetorn	09/24/91
	2	5,436,510		Gilbert	07/25/95
	3	5,467,263		Gilbert	11/14/95
	4	5,570,085		Bertsch	10/29/96
	5	5,576,700		Davis, et al.	11/19/96
	6	5,625,863		Abraham	04/29/97
	7	5,630,204		Hylton, et al.	05/13/97
	8	5,684,826		Ratner	11/04/97
	9	5,706,191		Bassett, et al.	01/06/98
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	15	5,848,054		Mosebrook, et al.	12/08/98
	16	5,856,776		Armstrong, et al.	01/05/99
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	29	5,952,914		Wynn	09/14/99
	30	5,963,406		Neiger, et al.	10/05/99
	31	5,994,998		Fisher, et al.	11/30/99
	32	6,002,669		White	12/14/99
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	34	6,011,579		Newlin	01/04/00
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1449A/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

## LIST OF PRIOR ART CITED BY APPLICANT

(use as many sheets as necessary)

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		Number	Kind Code <sup>2</sup> (if known)		
	36	6,040,759		Sanderson	03/21/00
	37	6,052,750		Lea	04/18/00
	38	6,058,355		Ahmed, et al.	05/02/00
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	40	6,085,236		Lea	07/04/00
	41	6,088,205		Neiger, et al.	07/11/00

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Document		Name of Patentee or Applicant of cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
			Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, country, where published, source.	T <sup>2</sup>

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not in conformance and not considered. Include copy of this form with next communication to applicant.